



## Financial Assistance Award

**DENALI COMMISSION**  
510 "L" Street, Suite 410  
Anchorage, Alaska 99501  
(907) 271-1414

**Project Number**  
0004-DC-1999-T1

**Accounting Code**  
95X1200 95870000 95-67

**Recipient Name**  
Alaska Native Tribal Health Consortium

**Recipient Address**  
Department of Environmental Health  
3925 Tudor Centre Dr.  
Anchorage, AK 99508

**Denali Commission Share of Cost**  
\$ 200,000

**Recipient Share of Cost**  
\$ 193,000

**Total Estimated Cost**  
\$ 393,000

**Award Performance Period**  
From May 1, 2000 to May 31, 2004

**Authority**  
112 Stat 1854

**Project Title**  
**Utility Operation and Management Training**

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99

☒ Special Award Conditions and Attachments ( Project Scope – Statewide Training Assistance Program, ANTHC Project No. AN 00-X31)

☐ Line Item Budget

☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments ([www.access.gpo.gov/nara/cfr/waisidx\\_99/15cfr24\\_99.html](http://www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html))

☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments ([www.whitehouse.gov/OMB/circulars/a087/a087-all.html](http://www.whitehouse.gov/OMB/circulars/a087/a087-all.html))

☐ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments ([www.whitehouse.gov/OMB/circulars/a133/a133.html](http://www.whitehouse.gov/OMB/circulars/a133/a133.html))

☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations ([www.access.gpo.gov/nara/cfr/waisidx\\_99/15cfr14\\_99.html](http://www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html))

☒ OMB Circular A-122, Cost Principles for Nonprofit Organizations ([www.whitehouse.gov/OMB/circulars/a122/a122.html](http://www.whitehouse.gov/OMB/circulars/a122/a122.html))

☐ OMB Circular A-21, Cost Principles for Educational Institutions ([www.whitehouse.gov/OMB/circulars/a021/a021.html](http://www.whitehouse.gov/OMB/circulars/a021/a021.html))

☐ EDA Standard Terms and Conditions Capacity Building Programs

☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98

**Signature of Authorized Official - Denali Commission**

**Typed Name and Title**

Jeffrey Staser, Federal Co-Chair

**Date**

5-18-00

**Signature of Authorized Official - Alaska Native Tribal Health Consortium**

**Typed Name and Title**

Paul Sherry, President/CEO

**Date**

5/22/00

**Award Conditions to the Financial Assistance Award**  
**Project No. 0004-DC-1999-T1 – Utility Operation and Management Training**  
**Alaska Native Tribal Health Consortium**

1. The scope of work for this project is a statewide training assistance program and is described in greater detail in the attached Project Scope. Total Denali Commission funding for the Alaska Native Tribal Health Consortium (ANTHC) through this Financial Assistance Award is \$200,000; total funding (including \$193,000 from ANTHC through the Indian Health Service) is \$393,000. Commission funding is intended for use for the scope of work specified above and in the Project Scope. In the event there is a balance of funding after the scope of work is completed, then the Commission will determine how the excess funds will be allocated.
2. The Project Officer for the ANTHC is Mr. Steve Forthun and for the Denali Commission Mr. Krag Johnsen.
3. The ANTHC shall submit written progress reports to the Commission Project Officer quarterly (January/March, April/June, etc.). The reports are due within 30 calendar days of the end of the quarter. Interim reports are required in the event of significant project events that may extend the project schedule and/or impact the project budget. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. These progress reports shall be sent to:

Denali Commission  
510 "L" Street, Suite 410  
Anchorage, AK 99501.

The Commission reserves the right, at a future date, to direct the ANTHC to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.
  - A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). Included in the quarterly financial status reports shall be a project performance analysis on budgeted project line items, as defined by the ANTHC, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).

- B. A final Financial Status Report (Standard Form 269 – [www.whitehouse.gov/OMB/grants/index.html#forms](http://www.whitehouse.gov/OMB/grants/index.html#forms)) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the ANTHC must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.
5. To receive payment, the ANTHC must submit a "Request for Advance or Reimbursement", Standard Form-270, to the Commission Project Officer. It is expected that the ANTHC will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for each project. This does not preclude more frequent Commission payments, than monthly, given project specific needs. The Commission Project Officer may review all payments to determine if payments made are justified and supported by appropriate records, invoices and other documentation. The Commission reserves the right to prohibit further payments to the ANTHC, at any time, if discrepancies involving Commission funds arise, until such time that the discrepancies are resolved to the satisfaction of the Commission.
  6. To the maximum extent feasible, considering applicable laws, the ANTHC shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.
  7. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

**PROJECT SCOPE**

**STATEWIDE TRAINING ASSISTANCE PROGRAM**

**PROJECT NO. AN 00-X31**

**PUBLIC LAW 86-121**

**PUBLIC LAW 93-638**

**ALASKA NATIVE TRIBAL HEALTH CONSORTIUM  
DEPARTMENT OF ENVIRONMENTAL HEALTH & ENGINEERING  
DIVISION OF SANITATION FACILITIES CONSTRUCTION**

**MARCH 2000**

**PROJECT SCOPE**  
**STATEWIDE TRAINING ASSISTANCE PROGRAM**

**PROJECT NO. AN 00-X31**  
**PUBLIC LAW 86-121**  
**PUBLIC LAW 93-638**

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**PROJECT SCOPE**  
**STATEWIDE TRAINING ASSISTANCE PROGRAM**

**PROJECT NO. AN 00-X31**  
**PUBLIC LAW 86-121**  
**PUBLIC LAW 93-638**

DATE OF DOCUMENT:  
March 2000

**A. INTRODUCTION:**

The Alaska Native Tribal Health Consortium (ANTHC) sponsors a training program for sanitation facility operators, utility clerks, and utility managers within the State of Alaska. This year, the Denali Commission (Commission) will contribute \$200,000 and the Indian Health Service (IHS) will contribute \$193,000 towards the Statewide Training Project, for a combined total of \$393,000.

**B. JUSTIFICATION:**

Sanitation operator and utility management training is important for rural Alaskan communities to insure the long-term success of community sanitation systems. The Statewide Training Assistance Program provides funding to allow operators, clerks, and managers of water, sewer, and solid waste facilities in Alaska to attend training classes necessary for certification and continued education. The funds are dispersed by ANTHC to Regional Health Corporations. At the end of each calendar year, a summary of training activities and expenses will be prepared, until all project funds have been exhausted.

**C. METHODOLOGY:**

In 1999, eleven Regional Health Corporations received funding from IHS through ANTHC for training assistance. These corporations were:

Aleutian/Pribilof Islands Association  
Chugachmiut  
Eastern Aleutian Tribes  
Maniilaq Association  
Southeast Alaska Regional Health Corporation  
Yukon Kuskokwim Health Corporation

Bristol Bay Health Corporation  
Copper River Native Association  
Kodiak Area Native Association  
Norton Sound Health Corporation  
Tanana Chiefs Conference

The projected amount of funding for the 2000 training year is \$393,000. The formula for dispersing \$393,000 has not been finalized at this time, but will be based on needs identified by the respective Regional Health Corporations.

A subproject will be established for each Regional Health Corporation that receives funds from this training project. The subprojects will be established through a letter amendment to this training project and will identify the Regional Health Corporation seeking assistance, the form of assistance being provided, and the amount of training funds being requested. Funding can be used to pay for operator training expenses, including course fees, registration and tuition, instructors fees, travel and per diem.

D. FUNDING SOURCES:

This project is funded by \$200,000 from the Denali Commission and \$193,000 from the IHS for a combined total of \$393,000.

Funding from the IHS will be made available to the ANTHC through a separate Project Funding Agreement (PFA) between the IHS and the ANTHC. If a PFA is not executed, no action will be taken by the ANTHC with regard to IHS funding.

Funding from the Denali Commission will be contributed to the ANTHC upon execution of a separate grant Agreement between the Denali Commission and the ANTHC.

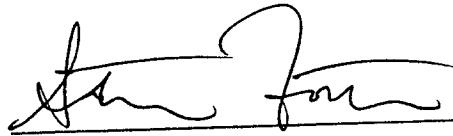
Project activities with regard to the funds will not proceed until these two Agreements have been executed.

E. SIGNATURES:

IN WITNESS WHEREOF, the parties have subscribed their names.

PREPARED BY:

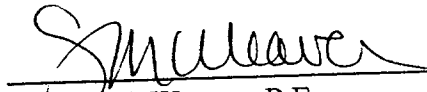
3/30/00  
Date



Steven Forthun, P.E.  
Lead O&M Consultant

RECOMMENDED APPROVAL BY:

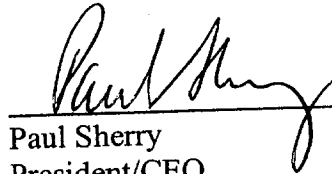
3/30/00  
Date



Steven M. Weaver, P.E.  
Director  
Department of Environmental Health and Engineering

APPROVED FOR THE ALASKA NATIVE TRIBAL HEALTH  
CONSORTIUM:

4/4/00  
Date



Paul Sherry  
President/CEO  
Alaska Native Tribal Health Consortium



# ALASKA NATIVE TRIBAL HEALTH CONSORTIUM DIVISION OF SANITATION FACILITIES CONSTRUCTION

## PROJECT DATA SYSTEM (PDS) AND PROJECT SETUP INFORMATION SHEET

PROJECT NUMBER: AN 00-X31  
 COMMUNITY NAME: Statewide Training  
 SDS NARRATIVE NAME AND NUMBER (IF APPLICABLE): \_\_\_\_\_  
 SELF GOVERNANCE CODE: B-(T-III Compact)  
 REGIONAL HEALTH CORPORATION: \_\_\_\_\_ (Statewide)  
 PROJECT ENGINEER: Steven Forthun  
 DISTRICT: O&M  
 PROJECT CLASS: IHS Housing IHS Regular Special/Emergency VSW Other  
 NAME OF FEDERALLY RECOGNIZED TRIBE: \_\_\_\_\_ (Statewide)

MASTER JOB NUMBER: \_\_\_\_\_  
 COMMUNITY CODE: \_\_\_\_\_ (Statewide)

### Houses Served by This Project:

Type of Houses *	Number of Houses	First Service? (Y or N)	Water Service? (Y or N)	Sewer Service? (Y or N)	S. Waste Service? (Y or N)	O&M Service? (Y or N)	Existing Deficiency Level **	Final Deficiency Level **

### \* HOUSE TYPES

NEW OR RENOVATED	EXISTING
H1 HUD/IHA	E1 EXISTING INDIAN
H2 BIA/HIP	E2 COMMUNITY BLDG
H3 PRIVATE TRIBAL	E3 NON-INDIAN
H4 STATE FUNDED	
H5 OTHER	

### FUNDING

IHS REGULAR	_____
IHS HOUSING	_____
U.S. EPA (Type)	_____
VSW	_____
RD	_____
IHS-SPECIAL	\$193,000
Denali Commission	200,000
TOTAL	\$393,000

### \*\* Deficiency

Level	Description
I	Piped water & sewer to home.
II	Water and sewer truck haul system with storage and plumbing in home
III	Water and sewer ATV haul system With storage and plumbing in home.
IV	Central watering point and/or washeteria. Non-piped sewage disposal system.
V	No water and sewer facilities.

### COST BY SERVICE TYPE (Project funding only)

WATER	_____
SEWER	_____
SOLID WASTE	_____
O&M	\$393,000
TOTAL	\$393,000



**ALASKA NATIVE TRIBAL HEALTH CONSORTIUM**  
Department of Environmental Health & Engineering  
3925 Tudor Centre Drive  
Anchorage, Alaska 99508

## **MEMORANDUM**

DATE: March 2000

FROM: Environmental Review Officer  
Alaska Native Tribal Health Consortium

SUBJECT: Statewide Training Project - Environmental Review - Project AN 00-X31

TO: FOR THE RECORD

Based upon proposed Federal funding for this project, an environmental review in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) is required. The Alaska Native Tribal Health Consortium (ANTHC) has addressed all potential environmental concerns (specific and cumulative effects) associated with the project in consultation with applicable Federal, State, and local authorities. An Environmental Review has been completed with respect to the proposed sanitation training project, under Project AN 00-X31.

### **FINDINGS:**

The finding of this environmental review is that no further environmental investigation is necessary. It is recommended that the Indian Health Service (IHS) approve a determination of eligibility for categorical exclusion from the Environmental Assessment process for this project. Current IHS policy (Federal Register, Vol. 58, No. 3, January 6, 1993, pp. 569-572) allows for IHS categorical exclusions for certain projects. In particular, Section E, No. 4 allows for a categorical exclusion for projects to provide "technical assistance to American Indian and Alaska Native tribes and groups for the purpose of developing capabilities in the area(s) of operation and maintenance of sanitation facilities." This project will provide such assistance.

SCOPE OF WORK/PERMITTING:

The proposed sanitation facilities training project will provide funding for trainees' travel, lodging and meal allowances, course material and other incidental training costs such as registration fees and tuition. The project will not include construction work. The project should begin by May 2000 and be completed by April 2001. The ANTHC agrees to comply with all applicable Federal, State and local environmental laws, regulations, and requirements during all phases of the project, as well as obtain any necessary permits.

SUMMARY:

With the scope of work outlined in the Project Scope, the ANTHC accepts that the environmental review is completed. If the scope of work is changed in the future, then the ANTHC will revisit this environmental review to determine if potential environmental concerns have been addressed.

  
Bill Griffith, P.E.